

PTO/SB/52 (07/03)

Approved for use through 1/31/2004. OMB 0651-0033
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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REISSUE APPLICATION DECLARATION BY THE ASSIGNEE		Docket Number (Optional) 1999U029.RE.US
I hereby declare that:		
The residence, mailing address and citizenship of the Inventors are stated below.		
I am authorized to act on behalf of the following assignee: <u>Univation Technologies, LLC</u>		
and the title of my position with said assignee is: <u>General Counsel and Secretary</u>		
The entire title to the patent identified below is vested in said assignee.		
Inventor <u>David H. McConville</u>	Citizenship <u>Canada</u>	
Residence/Mailing Address <u>4015 Cinnamon Fern Court, Houston, Texas 77059</u>		
Inventor	Citizenship	
Residence/Mailing Address		
<input type="checkbox"/> Additional Inventors are named on separately numbered sheets attached hereto.		
Patent Number <u>6,300,439 B1</u>	Date of Patent Issued <u>10-9-2001</u>	
Title of Invention <u>Group 15 Containing Transition Metal Catalyst Compounds, Catalyst Systems and Their Use in a Polymerization Process</u>		
I believe said inventor(s) to be the original and first inventor(s) of the subject matter which is described and claimed in said patent, for which a reissue patent is sought on the invention entitled:		
<u>Group 15 Containing Transition Metal Catalyst Compounds, Catalyst Systems and Their Use in a Polymerization Process</u>		
the specification of which		
<input checked="" type="checkbox"/> is attached hereto.		
<input type="checkbox"/> was filed on _____ as reissue application number _____ / _____		
and was amended on _____ (if applicable)		
I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.		
I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.		
<input type="checkbox"/> I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b). Attached is form PTO/SB/02B (or equivalent) listing the foreign applications.		
I verily believe the original patent to be wholly or partly inoperative or invalid, for the reasons described Below. (Check all boxes that apply.)		
<input type="checkbox"/> by reason of a defective specification or drawing.		
<input checked="" type="checkbox"/> by reason of the patentee claiming more or less than he had the right to claim in the patent.		
<input type="checkbox"/> by reason of other errors.		

(Page 1 of 2)

This collection of information is required by 37 CFR 1.175. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P. O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

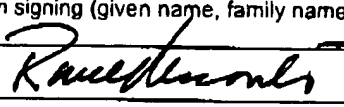
If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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REISSUE APPLICATION DECLARATION BY THE ASSIGNEE		Docket Number (Optional) 1999U029.RE.US	
At least one error upon which reissue is based is described as follows:			
Claim 1 is anticipated by EP 0 893 454.			
[Attached additional sheets, if needed.]			
All errors corrected in this reissue application arose without any deceptive intention on the part of the applicants.			
I hereby appoint:			
<input type="checkbox"/> Practitioners at Customer Number:			
OR			
<input checked="" type="checkbox"/> Practitioner(s) named below:			
Name	Registration Number		
Raul R. Montes	32,545		
Stephen P. Koch	37,666		
Osborne McKinney	40,084		
Kevin M. Faulkner	45,427		
as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.			
Correspondence Address: Direct all communications about the application to:			
<input type="checkbox"/> Practitioners at Customer Number:			
OR			
<input checked="" type="checkbox"/> Firm or Individual Name	Univation Technologies, LLC		
Address	Suite 1950		
Address	5555 San Felipe		
City	Houston	State	Texas
Country	USA	Zip	77056
Telephone	713.892.3655	Fax	713.892.3687
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine and imprisonment, or both, under 18 U.S.C. 1001, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this declaration is directed.			
Full name of person signing (given name, family name) Raul R. Montes			
Signature			Date Feb. 12, 2004
Address of Assignee 5555 San Felipe, Suite 1950, Houston, TX 77056			

PTO/SB/86 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Univation Technologies, LLCApplication No./Patent No.: 6,300,439 B1 Filed/Issue Date: October 9, 2001Entitled: Group 15 Containing Transition Metal Catalyst Compounds, Catalyst Systems and Their Use in a Polymerization ProcessUnivation Technologies, LLCa Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %
in the patent application/patent identified above by virtue of either:

A. [☒] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 010537, Frame 0209, or for which a copy thereof is attached.

OR

B. [☐] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

[☐] Additional documents in the chain of title are listed on a supplemental sheet.

[☐] Copies of assignments or other documents in the chain of title are attached.

(NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Feb. 12, 2004

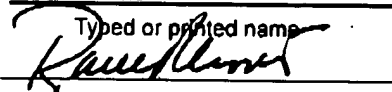
Date

713-892-3655

Telephone number

Raul R. Montes

Typed or printed name



Signature

General Counsel and Secretary

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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